

NEWS RELEASE

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WOODLAND PARK RESIDENT AND HIS FIRM CHARGED WITH SECURITIES LAW VIOLATIONS

Denver District court Enjoins Securities Law Violations

Acting Colorado Securities Commissioner Gerald Rome announced today that he has filed a complaint in Denver District Court and obtained a temporary restraining order against Richard Roop and his company Bottom Line Results, Inc., alleging that they had violated the registration, licensing, and anti-fraud provisions of the Colorado Securities Act. On April 4, 2014, Denver District Court Judge Michael Martinez entered a temporary restraining order, which prohibits Roop and his company from offering or selling securities in Colorado and violating the registration, licensing and anti-fraud provisions of the Colorado Securities Act. Under the terms of the order, a hearing is scheduled for April 14, 2014.

In July of 2012 Roop's licenses as a broker-dealer and sales representative were revoked by the Securities Commissioner for failing to comply with document requests issued by the Division. Despite the fact that Roop's licenses have been revoked, he allegedly continues to engage in securities transactions. In addition, the complaint alleges that Roop has offered investments from at least 2008 through the present utilizing a strategy of investing in primarily distressed real estate in the Woodland Park area. Investors are lured to invest in promissory notes offered by Roop offering an annual interest rate, typically 10%. Roop then uses the funds to acquire interests in distressed properties, relying upon the properties to generate the returns promised to the investors. It is alleged that Roop fails to make full disclosures regarding the investments, uses investor funds for personal expenditures, and ultimately resorts to the classic Ponzi scheme strategy of paying returns to older investors with newer investor funds.

A copy of the complaint and temporary restraining order can be obtained by contacting the Division of Securities at 303-894-2320.

The **Colorado Division of Securities** is responsible for the administration and enforcement of the Colorado Securities Act, the Colorado Commodity Code, the Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act. The Division licenses and regulates stockbrokers and investment advisers and the securities investments they offer, sell, and advise about in Colorado. The Division also investigates cases of alleged securities fraud.

DORA is dedicated to preserving the integrity of the marketplace and is committed to promoting a fair and competitive business environment in Colorado. Consumer protection is our mission.

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